

SECTOR 18
HB

PATENT
Attorney Reference Number 5922-56160

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: William Randall Babbitt,
Thomas W. Mossberg
Application No. 09/663,964

Filed: September 19, 2000

For: APPARATUS AND METHODS FOR
ROUTING OF OPTICAL BEAMS VIA
TIME-DOMAIN SPATIAL-SPECTRAL
FILTERING

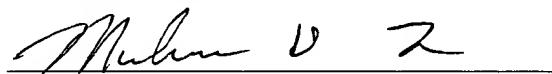
Examiner:

Date: February 5, 2001

Art Unit: 2877

CERTIFICATE OF MAILING

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service on February 5, 2001, as First Class Mail in an envelope addressed to: BOX MISSING PARTS, COMMISSIONER FOR PATENTS, WASHINGTON D.C. 20231.



Michael D. Jones
Attorney for Applicant

TRANSMITTAL LETTER

BOX MISSING PARTS
TO THE COMMISSIONER FOR PATENTS
Washington, DC 20231

Enclosed for filing in the application referenced above are the following:

- Copy of Notice to File Missing Parts of Application--Filing Date Granted
- Copy of Filing Receipt with corrections circled in red
- Filing Fee of \$459.00 .
- Combined Declaration and Power of Attorney (2).
- Surcharge for Late Filing of Fee or Oath or Declaration:
 - \$65.00 - Small Entity.
 - \$130.00 - All Others.
- A check in the amount of \$524.00 to cover the above-listed fees.
- Small entity status is claimed for this application.
- Applicants petition for an extension of time for 1 month. If an additional extension of time is required please consider this a petition therefor.
- The Director is hereby authorized to charge any additional fees that may be required, or credit over-payment, to Account No. 02-4550. A copy of this sheet is enclosed.

PATENT
Attorney Reference Number 5922-56160

- Please return the enclosed postcard to confirm that the items listed above have been received.

Respectfully submitted,

KLARQUIST SPARKMAN CAMPBELL
LEIGH & WHINSTON, LLP

By


Michael D. Jones
Registration No. 41,879

One World Trade Center, Suite 1600
121 S.W. Salmon Street
Portland, Oregon 97204
Telephone: (503) 226-7391
Facsimile: (503) 228-9446

cc: Docketing



UNITED STATES PATENT AND TRADEMARK OFFICE

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 WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/663,964	09/19/2000	2877	0	592256200 OPIE FEB 09 2001 PATENT & TRADEMARK OFFICE SC10A	8	25 21	5 4

24197
 KLARQUIST SPARKMAN CAMPBELL LEIGH &
 WHINSTON, LLP
 121 SW SALMON STREET
 SUITE 1600
 PORTLAND, OR 97204

FILING
RECEIPT

OC000000005568968

Date Mailed: 11/20/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

William R. Babbitt, Bozeman, MT ;
 Thomas W. Mossberg, Eugene, OR ;

Continuing Data as Claimed by Applicant**Foreign Applications**

If Required, Foreign Filing License Granted 11/20/2000

Title

Apparatus and methods for routing of optical beams via time-domain spatial-spectral filtering

Preliminary Class

356

Data entry by : LADRINGAN, JUDITH

Team : OIPE

Date: 11/20/2000

W

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related application(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

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Washington, DC 20231



UNITED STATES PATENT AND TRADEMARK OFFICE

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 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/663,964	09/19/2000	William R. Babbitt	5922-56160

24197
 KLARQUIST SPARKMAN CAMPBELL LEIGH &
 WHINSTON, LLP
 121 SW SALMON STREET
 SUITE 1600
 PORTLAND, OR 97204



FORMALITIES
 LETTER
 OC000000005568969

Date Mailed: 11/20/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$246.
 - \$90 for 5 total claims over 20.
 - \$156 for 2 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

02/14/2001 SDENBOB1 00000057 024550 09663964	
	355.00 OP
	01 FC:201 80.00 OP
	02 FC:202 45.00 OP
	03 FC:203 21.00 CH 44.00 OP
	04 FC:205

- The balance due by applicant is \$ 1066.

A copy of this notice MUST be returned with the reply.

Q T-6

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

